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BEFORE THE ARIZONA CORPORAT

COMMISSIONERS

GARY PIERCE, Chairman
BOB STUMP
SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

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AZ CORP COMMISSION
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2012 AUG 17 PM 4:22

In the matter of:

Richard M. Schmerman, (CRD# 1302988)
individually and d/b/a Diversified Financial
and/or Diversified Financial Planners, and Amy
Schmerman, husband and wife;

DOCKET NO. S-20757A-10-0373

**STIPULATION TO EXTEND DATE TO
EXCHANGE LIST OF WITNESSES
AND EXHIBITS**

Respondents.

The Securities Division and Respondents Richard M. Schmerman ("Mr. Schmerman"),
Diversified Financial and/or Diversified Financial Planners ("Diversified") and Amy Schmerman
("Ms. Schmerman") (collectively "Respondents") are currently due to exchange their List of
Witnesses and Exhibits ("LWE") on August 20, 2012. The parties are attempting to resolve this
matter.

The parties request that the deadline for exchanging LWEs be continued one week to
August 27, 2012 in order to allow the parties to continue their negotiations in an attempt to resolve
this matter.

RESPECTFULLY SUBMITTED this 17th day of August, 2012.

ARIZONA CORPORATION COMMISSION

Arizona Corporation Commission

DOCKETED

AUG 17 2012



By

Paul Huynh
Securities Division
1300 West Washington, 3rd Floor
Phoenix, Arizona 85007

BADE BASKIN RICHARDS PLC

By Paul Huynh with permission of
Alan S. Baskin
80 East Rio Salado Parkway, Suite 511
Tempe, Arizona 85281
Attorneys for Respondents

ORIGINAL and thirteen copies of the foregoing
filed this 17th day of August, 2012 with:

Docket Control
Arizona Corporation Commission
1200 West Washington Street
Phoenix, AZ 85007

COPY of the foregoing hand-delivered
this 17th day of August, 2012 to:

Hearing Officer
Hearing Division
Arizona Corporation Commission
1200 W. Washington Street
Phoenix, AZ 85007

